

Pursuant to Articles 1, 3, 47, and 50 of the Criteria for Appointment to the Titles of University Teachers, Researchers, and Associates at the University of Ljubljana (official consolidated text No. 3 of 16 June 2020, hereinafter referred to as: the Criteria), the Senate of the UL FKKT, in accordance with Article 79 of the Rules on the Organisation and Operation of the UL FKKT (of 21 June 2019), at its 26th regular meeting of 15 March 2024, adopted the following

Annexes of the UL FKKT to the Criteria: Interpretation, indicators of international impact, and special conditions for appointment to a title for individual habilitation fields at the UL FKKT

I. Interpretation of the quantitative evaluation of academic research activity under Article 51 of the Criteria for the appointment to a title for individual habilitation fields at the UL FKKT:

I. 1

1.1 Peer-reviewed articles

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|---|-----------|
| 1.1.1 Group I (journals with an IF ¹ in the top 5% of SCI journals from an individual field) | 12 points |
| 1.1.2 Group II (SCI-indexed journals) | |
| II a. Q1 | 8 points |
| II b. Q2 | 7 points |
| II c. Q3 | 6 points |
| II d. Q4 excluding the bottom 10% of the journals | 5 points |
| II e. journals with an IF in the bottom 10% of SCI journals | 3 points |
| 1.1.3 Group IV (other reviewed academic journals) | 2 points |
| 1.1.4 Group V (other academic journals) | 1 point |

I. 2 Method of publication of works under points 1.4, 1.5, and 1.6 and of lectures at foreign universities

- 1.4 **Invited and published plenary paper:**
 - at domestic academic conferences
 - at international academic conferences
- 1.5. **Invited and published section papers:²**
 - at domestic academic conferences
 - at international academic conferences
- 1.6 **Documented published papers from academic symposiums and seminars:³**
 - domestic
 - international⁴

¹ IF is the journal's impact factor

² It can be in the form of an abstract

³ It can be in the form of an abstract

⁴ This category also includes invited lectures at foreign universities, which are usually not published

- I. 3** Works under point 4.8 may include patents sold, work carried out, production technologies set up, etc. **The number of points is allocated by the expert committee.**

II. Indicators of international impact according to Article 48 of the Criteria

The number of pure SICRIS citations is taken into account, 50 for the appointment to the title of associate professor⁵ and 100 for the appointment to the title of professor.

III. Leading a research project

For appointment to the title of associate professor, senior research associate, professor or senior research fellow, the following shall be considered as fulfilment of the condition of leading a research project:

- (1) If the candidate has supervised at least one successfully completed research project of the relevant category as defined for each title.
- (2) If, at the time of submitting the application, the candidate is supervising at least one research project of the relevant category as defined for each title.
- (3) Bilateral cooperation activities (or, before 1 January 2022, bilateral projects) in which only visits by researchers are funded shall not be considered a research project. Commercial projects with a total value of less than 0.2 FTE shall also be excluded.
- (4) The FTE of the project is taken into account for the time period during which the project took place.

Associate professor or senior research associate

(1a) Any project obtained through public calls for proposals from domestic or foreign research organisations or scientific foundations or agencies or other non-economic organisations or ministries shall be considered a relevant research project. In the case of international projects, the management of a part of the project shall also be taken into account. The scope of the project or the work managed by the candidate must be at least 0.2 FTE, regardless of the price category. For COST projects, only the coordination of the project shall be taken into account.

(2a) A project in which the research work is carried out by students, e.g. 'Creative Path to Knowledge', 'Student Innovative Projects for the Benefit of Society', internal Development Funding Pillar projects of the University of Ljubljana – 'Student Projects for Sustainable Development' or comparable projects, shall also be considered a relevant research project. In this case, the candidate must also have at least 1 other application (as a leader) to ARIS or international calls for projects above the threshold (for ARIS projects, this is at least 70% of the points).

(3a) A commercial research project for economic organisations of at least 0.2 FTE shall also be considered a relevant research project. If, for reasons of intellectual property protection, the content of the project is not accessible to the referees, the candidate must submit a signed declaration identifying the academic research content of the project without disclosing details.

⁵ The masculine grammatical form is used in the Slovenian version of the Annexes as gender-neutral.

(4a) In the case of re-appointment to a title, the condition of having led a research project, which has already been fulfilled once in the previous appointment, shall not be re-examined for re-election to the same title.

Professor or senior research fellow:

(1b) Any project obtained through public calls for proposals from domestic or foreign research organisations or scientific foundations or agencies or other non-economic organisations or ministries shall be considered a relevant research project. In the case of international projects, the management of a part of the project shall also be taken into account. COST projects shall not be taken into account. The scope of a project or the work managed by the candidate must be at least 1.0 FTE, regardless of the price category. Similarly, the sum of several projects or parts of a project totalling 1.0 FTE shall be taken into account, whereby the individual projects or parts of a project must amount to at least 0.2 FTE.

(2b) A commercial research project or several projects for economic organisations totalling at least 1.0 FTE, where the individual projects must comprise at least 0.2 FTE, shall also be considered a relevant research project. If, for reasons of intellectual property protection, the content of an individual project is not accessible to the referees, the candidate must submit a signed declaration identifying the academic research content of the project without disclosing details.

(3b) A combination of the projects referred to in points (1b) and (2b), totalling at least 1.0 FTE, with individual projects or parts of projects totalling at least 0.2 FTE, shall also be considered a relevant research project, and a declaration by the candidate as referred to in point (2b) shall be required for commercial research projects.

The provisions on the condition of leading a research projects set out in this point shall apply to applications submitted after 15 December 2027.

IV. In accordance with the fourth paragraph of Article 1 of the Criteria, **special conditions have been added to the Criteria for the appointment to the titles of assistant professor, associate professor, and professor** in the habilitation fields of the UL FKKT:

Professor and senior research fellow

A candidate may be appointed to the title of professor if, in addition to the common, general, and special qualitative conditions, they have obtained a total of at least 90 points in the evaluation of academic research, teaching, and professional activity, of those at least 50 points from academic activity (at least 35 points from works published in SCI-indexed academic journals) and at least 20 points from teaching activity. The candidate must have published at least 14 articles where they are the first or lead author, which are scored at least 5 points, of which at least 6 articles must be scored at least 7 points.

In the period from the date of application for the first appointment to the current title, the candidate must have published at least 7 articles where they are the first or lead author, which are scored at least 5 points, of which at least 3 articles must be scored at least 7 points.

In the period from the date of application for the first appointment to the current title, the candidate must have obtained at least 30 points, of which at least 15 points must have been obtained from academic activity (of which at least 10 points must have been obtained from academic articles in SCI-indexed journals) and 7.5 points from teaching activity, and must have evidence of being the course instructor of course(s) of at least 5 ECT credits at the UL per year.

Another condition to be fulfilled by a candidate for professor is the leading of a research project of the relevant category (Article III).

A candidate may be appointed to the title of senior research fellow if, in addition to fulfilling the general conditions of academic research activity, they have obtained at least 90 points (at least 30 points as of the date of application for the first appointment to the current title), of which at least 63 points (at least 21 points as of the date of application for the first appointment to the current title) must have been obtained in the form of academic articles published in SCI-indexed journals. At least 14 articles where the candidate is the first or lead author must be published in journals, which are scored at least 5 points, of which at least 6 articles must be scored at least 7 points.

From the date of application for the first appointment to the current title, the candidate must have published at least 7 articles where they are the first or lead author, which are scored at least 5 points, of which at least 3 articles must be scored at least 7 points.

Another condition to be fulfilled by a candidate for senior research fellow is the leading of a research project of the relevant category (Article III).

Associate professor and senior research associate

A candidate may be appointed to the title of associate professor if, in addition to fulfilling the common, general, and special qualitative conditions, they have obtained a total of at least 60 points in the evaluation of academic research, teaching, and professional activity, of those at least 35 points from academic activity (at least 25 points from works published in SCI-indexed academic journals) and at least 15 points from teaching activity. The candidate must have published at least 7 articles where they are the first or lead author, which are scored at least 5 points, of which at least 3 articles must be scored at least 7 points.

In the period from the date of application for the first appointment to the current title, the candidate must have published at least 4 articles where they are the first or lead author, which are scored at least 5 points, of which at least 2 articles must be scored at least 7 points.

In the period from the date of application for the first appointment to the current title, the candidate must have obtained at least 25 points, of which at least 15 points must have been obtained from academic activity (of which at least 10 points must have been obtained from academic articles in SCI-indexed journals) and 7.5 points from teaching activity, and must have evidence of implementation of the study process at the UL in the scope of at least 30 contact hours per year.

Another condition to be fulfilled by a candidate for associate professor is the leading of a research project of the relevant category (Article III).

For re-appointment to the title of associate professor, the candidate must have submitted at least three articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of two of such articles and the articles must be scored with a minimum of 5 points⁶. The candidate must obtain at least 15 points, of those at least 5 points from teaching and at least 9 points from academic activity (at least 6 points must be obtained from academic articles in SCI-indexed journals).

A candidate may be appointed to the title of senior research associate if, in addition to fulfilling the general conditions of academic research activity, they have obtained a total of at least 60 points (at least 25 points as of the date of application for the first appointment to the current title), of which at least 42 points (at least 17 points as of the date of application for the first appointment to the current title) must have been obtained from academic articles published in SCI-indexed journals. At least 7 articles where the candidate is the first or lead author must be published in journals, which are scored at least 5 points, of which at least 3 articles must be scored at least 7 points.

From the date of application for the first appointment to the current title, the candidate must have published at least 4 articles where they are the first or lead author, which are scored at least 5 points, of which at least 2 articles must be scored at least 7 points.

Another condition to be fulfilled by a candidate for senior research associate is the leadership of a research project of the relevant category (Article III).

For re-appointment to the title in the same habilitation field, the candidate must have submitted at least three articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of two of such articles and the articles shall be scored with a minimum of 5 points⁷.

The candidate must obtain at least 15 points from academic activity, of which at least 10.5 must be points from academic articles in SCI-indexed journals.

⁶ This sentence shall apply to applications submitted after 15 December 2027. For applications submitted before that date, the following sentence shall apply: "For re-appointment to the title of associate professor, the candidate must have submitted at least two articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of these articles and the articles must be scored with a minimum of 5 points."

⁷ This sentence shall apply to applications submitted after 15 December 2027. For applications submitted before that date, the following sentence shall apply: "For re-appointment to the title of senior research associate, the candidate must have submitted at least two articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of these articles and the articles shall be scored with a minimum of 5 points."

Assistant professor and research associate

A candidate may be appointed to the title of assistant professor if, in addition to fulfilling the common, general, and special qualitative conditions for the evaluation of academic, research and professional activity, they have obtained a total of at least 20 points, of those at least 12 points from works published in SCI-indexed academic journals. The candidate must have published at least 3 articles where they are the first or lead author, which are scored at least 5 points, of which at least 1 article must be scored at least 7 points.

For re-appointment to the title in the same habilitation field, the candidate must have submitted at least two articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of one of these articles and the article shall be scored with a minimum of 5 points⁸. The candidate must obtain at least 12 points, of those at least 3.75 points from teaching and at least 7.5 points from academic activity (at least 4 points must be obtained from academic articles in SCI-indexed journals).

A candidate may be appointed to the title of research associate if, additionally to fulfilling the general conditions for academic research activity, they have obtained at least 20 points from academic articles in SCI-indexed journals. At least 3 articles where the candidate is the first or lead author must be published in journals, which are scored at least 5 points, of which at least 1 article must be scored at least 7 points.

For re-appointment to the title in the same habilitation field, the candidate must have submitted at least two articles with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of one of these articles and the article shall be scored with a minimum of 5 points⁹. The candidate must obtain at least 12 points from academic articles published in SCI-indexed journals.

Teaching assistant and junior researcher

A candidate may be appointed to the title of teaching assistant for the **fifth and subsequent time** if, in addition to fulfilling the common, general, and specific qualitative conditions for the evaluation of academic research, teaching and professional activity, they fulfil the following conditions in the period from the date of application for the last appointment to the current title:

⁸ This sentence shall apply to applications submitted after 15 December 2027. For applications submitted before that date, the following sentence shall apply: "For re-appointment to the title of assistant professor, the candidate must have submitted at least one article with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of this article and the article shall be scored with a minimum of 5 point."

⁹ This sentence shall apply to applications submitted after 15 December 2027. For applications submitted before that date, the following sentence shall apply: "For re-appointment to the title of research associate, the candidate must have submitted at least one article with the affiliation of the University of Ljubljana in SCI-indexed journals within the period from the date of application for the last appointment to the current title. The candidate must be the first or lead author of this article and the article shall be scored with a minimum of 5 point."

a) At least 5 points in total for academic research, teaching or professional activities. and at the same time:

b) The candidate has achieved at least 1 point in academic research activity and is the first or lead author of at least 1 article with a minimum score of 5 points published in SCI-indexed journals.

or

The candidate has demonstrated outstanding student grades at least twice (to be taken into account: grades in Studis for at least one course in the top 10% of the UL FKKT average, award for the best teacher as chosen by the students); at least two substantive participations in the implementation of summer schools or other extra-curricular training courses in the fields of study cultivated by the UL FKKT; at least two substantive participations in events and activities for the promotion of studies and other activities of the UL FKKT; other teaching or professional achievements at the discretion of the referees.

A candidate may be appointed to the title of junior researcher for the **fifth and subsequent time** if, in addition to fulfilling the common, general, and specific qualitative conditions for the evaluation of academic research and professional activity, they fulfil the following conditions in the period from the date of application for the last appointment to the current title:

a) At least 5 points in total for academic research or professional activities and at the same time:

b) The candidate has achieved at least 1 point in academic research activity and is the first or lead author of at least 1 article with a minimum score of 5 points published in SCI-indexed journals.

or

The candidate has demonstrated professional achievements such as: patent application; documented participation in commercial projects; substantive participation in the preparation of at least two national or international project applications (evidence: project leader's statement); participation in the promotion or presentation of the Faculty's research work on at least two occasions; other professional achievements at the discretion of the referees.

The referees may, on the basis of legitimate reasons (health, social or similar circumstances of the candidate), consider that the candidate is eligible for re-election as teaching assistant or junior researcher even if they do not fulfil the additional conditions under (b). The referees shall explicitly justify such a decision by a substantive explanation and the relevant supporting evidence provided by the candidate.

The provisions under (b) on the additional conditions for appointment to the titles of teaching assistant and junior researcher shall apply to applications submitted after 15 December 2027.

V. Following the adoption of these Annexes of the UL FKKT to the Criteria, the Annexes of the UL FKKT to the Criteria adopted by the Senate of the UL FKKT at its 17th regular meeting of 19 April 2023 shall cease to be valid.

Prof. Dr Andreja Žgajnar Gotvajn, Dean



Univerza v Ljubljani

Signatory: ANDREJA ŽGAJNAR GOTVAJN
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